



## Auctioneer Advisory Board

December 14, 2015



### Growth in the Education and Examination Division:

Brian Beall joined the Education and Examination Division on August 31, 2015 as an Accreditation & Certification Specialist. Brian brings to us 7 years of experience from the Department of Public Safety (DPS). At DPS, he was with the Parent Taught Driver Education Program and was involved with the review and approval of parent taught driver education curriculum. We are excited to have him with us.

Alice Bradford is the newest member to the Education and Examination Division. She joined our team on October 19<sup>th</sup> and will be an Accreditation & Certification Specialist. Alice brings to us 10 years of increasingly responsible experience from the Department of State Health Services (DSHS) as a team lead for the Professional Licensing & Certification Examinations. She oversaw the examination administration and development for 23 licensing programs. Alice's fundamental knowledge with the various DSHS licensing programs will position TDLR to adequately implement those programs under TDLR's umbrella.

Anne Pascall joined the Education and Examination Division on November 16, 2015 as an Accreditation and Certificate Specialist. Anne brings to us 6 years of experience from the Department of Public Safety (DPS). At DPS, Ann worked with everything from the Parent Taught Driver Education Program to issuing driver licenses in the DPS field locations. We are excited to have her join our team.





**AUCTIONEER ADVISORY BOARD**

**Enforcement Division Staff Report  
December 14, 2015**

- On October 5, an agreed order was entered against Scarlett Westbrook assessing an administrative penalty of \$1,875 for failing to remit payment within 15 banking days of the auction.
- On October 22, a default order was entered against Morris Taibel assessing an administrative penalty of \$3,000 and suspending the license for one year for conducting business in a false, misleading, or deceptive way.

**Key Statistics – Fiscal Year 2015**

Shown below are key statistics for the Auctioneer program and for all TDLR programs combined for Fiscal Year 2015 through October.

<b><u>Statistic</u></b>	<b><u>AUC</u></b>	<b><u>TDLR</u></b>
• Number of cases opened:	10	1,986
• Number of cases resolved:	12	1,951
• Number of Agreed Orders:	2	304
• Total amount of penalties assessed:	\$8,875	\$746,575
• Total amount of penalties collected:	\$1,250	\$290,835

**TEXAS DEPARTMENT OF LICENSING AND REGULATION**  
**Auctioneer Education and Recovery Trust Fund (AERF 0898)**  
**Recap for Calendar Year 2015 (as of 11/30/15)**  
 With Comparative Totals for Calendar Year 2014

<b>Calendar Year 2015 at 11/30/15</b>			<b>Calendar Year 2014</b>		
	<b>COBJ</b>			<b>COBJ</b>	
<b>BALANCE at 01/01/15:</b>		<b>346,920.40</b>	<b>BALANCE at 01/01/14:</b>		<b>286,572.25</b>
<b>REVENUES:</b>			<b>REVENUES:</b>		
Fees	3175	100,850.00	Fees	3175	92,150.00
Interest Earned	3851	1,494.69	Interest Earned	3851	1,240.93
Repayments of Claims	3802	210.00	Repayments of Claims	3802	421.84
<b>Total Revenues</b>		<b>102,554.69</b>	<b>Total Revenues</b>		<b>93,812.77</b>
<b>EXPENDITURES:</b>			<b>EXPENDITURES:</b>		
Payment of Claims	7237	(38,598.50)	Payment of Claims	7237	(1,300.00)
Professional Services	7299	0.00	Professional Services	7299	(1,994.76)
Transfers Out		(25,314.67)	Transfers Out		(30,169.86)
		<b>(63,913.17)</b>			<b>(33,464.62)</b>
<b>BALANCE at 11/30/15:</b>		<b>385,561.92</b>	<b>BALANCE at 12/31/14:</b>		<b>346,920.40</b>
<b>NET CHANGE IN FUND BALANCE:</b>		<b>38,641.52</b>	<b>NET CHANGE IN FUND BALANCE:</b>		<b>60,348.15</b>

**AUCTIONEER LICENSING STATISTICS  
ADVISORY BOARD MEETING  
DECEMBER 14, 2015**

**AUCTIONEER**

	<b>TOTAL FY 2013</b>	<b>TOTAL FY 2014</b>	<b>TOTAL FY 2015</b>	<b>SEPT &amp; OCT FY 2016</b>
New Licenses Issued	158	193	143	25
Renewed Licenses Issued	1,919	1,773	1,981	341
Online Renewals	1,877	1,726	1,945	336
% Renewed Online	97%	97%	98%	98%
<b>Total Population</b>	2,360	2,407	2,426	2,412

**ASSOCIATE AUCTIONEER**

	<b>TOTAL FY 2013</b>	<b>TOTAL FY 2014</b>	<b>TOTAL FY 2015</b>	<b>SEPT &amp; OCT FY 2016</b>
New Licenses Issued	46	N/A	N/A	N/A
Renewed Licenses Issued	87	N/A	N/A	N/A
Online Renewals	85	N/A	N/A	N/A
% Renewed Online	97%	N/A	N/A	N/A
<b>Total Population</b>	206	N/A	N/A	N/A

Note:

HB 3038 went into effect on June 14, 2013 and eliminated the Associate Auctioneer license.